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**FILED**  
DISTRICT COURT OF GUAM

DEC 12 2007

JEANNE G. QUINATA  
Clerk of Court

Attorneys for the United States of America

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF GUAM**

UNITED STATES OF AMERICA,  
Plaintiff,

vs.

LESLEE HOUSTON,  
Defendant.

CRIMINAL CASE NO. **07-00108**

**INDICTMENT**

**RECEIPT OF AN ENDANGERED SPECIES**  
[16 U.S.C. § 1538] (Counts I & II)

**OFFER TO SELL MIGRATORY BIRDS**  
[16 U.S.C. § 703] (Count IV)

**ILLEGAL SALE OF WILDLIFE  
(LACEY ACT)**  
[16 U.S.C. § 3372] (Counts III, V and VI)

**WIRE FRAUD**  
[18 U.S.C. § 1343] (Counts VII, VIII and IX)

**THE GRAND JURY CHARGES:**

1. At all times pertinent herein, the U.S. Fish & Wildlife Service, Department of the Interior, was charged with enforcement of the Endangered Species Act, 16 U.S.C. § 1533 *et seq.* Title 16, U.S.C. § 1533(a) directed the Secretary of the Interior (Secretary) to determine and promulgate a list of endangered species, based upon the criteria set forth in that section. The list of endangered species, as set forth in 50 Code of Federal Regulations (CFR) § 17.11, provided that the leopard, *Panthera pardus*, and the tiger, *Panthera tigris*, were endangered species.

1           2. At all times pertinent herein, the Endangered Species Act, 16 U.S.C. § 1538(a)(1), and  
2 50 C.F.R. §§ 17.21(e) and (f), made it unlawful to deliver, receive, carry, transport or ship in  
3 interstate or foreign commerce, by any means whatsoever and in the course of a commercial  
4 activity, and to sell or offer for sale in interstate or foreign commerce any species listed in 50  
5 C.F.R. 17.11. At all times pertinent herein, 50 C.F.R. 17.22, allowed the Director of the U.S.  
6 Fish & Wildlife Service (the Director) to issue permits authorizing the activities prohibited in 50  
7 C.F.R. 17.21, provided the activities met the permit criteria of this section.

8           3. At all times pertinent herein, and relevant to the charges below, 50 C.F.R. § 17.21(g)  
9 restricted the delivery, receipt, transportation, shipment or sale or offer to sell in interstate or  
10 foreign commerce, in the course of a commercial activity, of any tiger (*Panthera tigris*) which  
11 had been bred in captivity in the United States, with two limited exceptions: either the tiger was  
12 an inter-subspecific crossed or "generic" tiger, as defined by 50 C.F.R. § 17.21(g)(6) or the  
13 persons engaged in such activities had registered with the U.S. Fish and Wildlife Service, as  
14 required by 50 C.F.R. § 17.21(g)(2).

15           4. At all times pertinent herein, the U.S. Fish and Wildlife Service, Department of the  
16 Interior, was charged with enforcement of the Migratory Bird Treaty Act (MBTA), 16 U.S.C. §  
17 703 *et seq.*, which made it unlawful for any person to take, possess, offer for sale, sell, purchase,  
18 barter or offer to barter, or transport any migratory bird, as included in the terms of the  
19 conventions between the United States, Great Britain, Mexico, Japan and the Soviet Union. The  
20 red-tailed hawk (*Buteo jamaicensis*), the great-horned owl (*Bubo virginianus*), and the black  
21 vulture (*Coragyps atratus*) were migratory birds protected under this Act, pursuant to 50 C.F.R.  
22 § 10.13. At all times pertinent herein, 50 C.F.R. § 21.11 allowed the Director to issue permits  
23 authorizing the activities prohibited by the MBTA, provided that the person met the required  
24 criteria.

25           5. At all times pertinent herein, 50 C.F.R. § 21.12 provided exemptions from the MBTA  
26 permitting requirements for certain individuals and institutions, including accredited institutional  
27 members of the American Association of Zoological Parks and Aquariums (AAZPA).  
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1           6. At all times pertinent herein, Title 7, United States Code, § 2133 *et seq.* charged the  
2 Secretary of United States Department of Agriculture (the Secretary) with the licensing of animal  
3 dealers and exhibitors, in conformance with the standards promulgated by the Secretary pursuant  
4 to 7 U.S.C. § 2143. The Animal and Plant Health Inspection Service (APHIS) was a division of  
5 the U.S. Department of Agriculture. APHIS was responsible for the inspection and licensing of  
6 exhibitors, as defined by 7 U.S.C. 2132(h) and 9 C.F.R. § 1.1. Every exhibitor was required to  
7 apply for and obtain an exhibitor's license, pursuant to 9 C.F.R. § 2.2. APHIS was required by 9  
8 C.F.R. § 2.3(b) to inspect the applicant's premises before issuing an exhibitor's license. Anyone  
9 holding an exhibitor's license was required by 9 C.F.R. § 2.5(b) to file an application for license  
10 renewal and an annual report, and pay an annual license fee. An exhibitor's license was issued to  
11 specific persons for specific premises and did not transfer upon a change of ownership, nor was it  
12 valid at a different location, 9 C.F.R. § 2.4.

13           7. At all times pertinent herein, the defendant, LESLEE HOUSTON, operated a zoo  
14 named the Southern Comfort Ranch, and was licensed by APHIS as a Class C exhibitor, License  
15 # 97-C-0002. The Southern Comfort Ranch was located on Guam and displayed wildlife,  
16 including birds, which species were listed under the Migratory Bird Treaty, and a tiger and two  
17 leopards, which species were listed under the Endangered Species Act. Neither LESLEE  
18 HOUSTON nor the Southern Comfort Ranch were an accredited institutional member of the  
19 AAZPA, nor did either hold any US Fish & Wildlife Service permits authorizing such activities  
20 under the Endangered Species Act or the MBTA.

21           8. At all times pertinent herein, Francisco LG Aldan was the president and treasurer of  
22 Tropical Gardens, Inc., a corporation of the Commonwealth of the Northern Mariana Islands.  
23 Aldan desired own and operate a zoo on Saipan, CNMI, to be called the Saipan Zoo, and to  
24 obtain animals to exhibit at his zoo. Aldan was not an accredited institutional member of the  
25 AAZPA, and did not hold any US Fish & Wildlife Service permits authorizing such activities  
26 under the Endangered Species Act or the MBTA.

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1                   **COUNT I - RECEIPT OF AN ENDANGERED SPECIES**

2           9. The grand jury realleges and incorporates herein Paragraphs 1-8.

3           10. On or about December 15, 2003, in the District of Guam, the defendant herein,  
4 LESLEE HOUSTON, did unlawfully and knowingly receive an endangered species which had  
5 been shipped in interstate commerce in the course of a commercial activity, to-wit: a black  
6 female leopard (*Panthera pardus*), approximately 12 weeks old,

7           All in violation of Title 16, United States Code, Sections 1538 and 1540(b)(1), and 50  
8 C.F.R. §§ 17.11 & 17.21(e).

9                   **COUNT II - RECEIPT OF AN ENDANGERED SPECIES**

10          11. The grand jury realleges and incorporates herein Paragraphs 1-8.

11          12. On or about July 14, 2004, in the District of Guam, the defendant herein, LESLEE  
12 HOUSTON, did unlawfully and knowingly receive an endangered species which had been  
13 shipped in interstate commerce in the course of a commercial activity, to-wit: a male spotted  
14 leopard (*Panthera pardus*), approximately 12 weeks old,

15          All in violation of Title 16, United States Code, Sections 1538 and 1540(b)(1), and 50  
16 C.F.R. §§ 17.11 & 17.21(e).

17                   **COUNT III - LACEY ACT**

18          13. The grand jury realleges and incorporates herein Paragraphs 1-8.

19          14. Beginning on or about August, 2003, and continuing through March 2005, in the  
20 District of Guam and elsewhere, the defendant herein, LESLEE HOUSTON, did knowingly and  
21 intentionally transport wildlife with a market value in excess of \$350, to-wit: two Leopards  
22 (*Panthera pardus*), knowing that said Leopards had been taken, possess, transported and sold in  
23 violation of the laws, treaties or regulations of the United States, to-wit: the Endangered Species  
24 Act, 16 U.S.C. §§ 1538(a)(1)(E) and (F), § 1540(b)(1), 50 C.F.R. § 17.11 & 17.21(e)

25          All in violation of Title 16, United States Code, Section 3372(a)(1), and Title 18, United  
26 States Code, Section 2.

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1                   **COUNT IV - OFFER TO SELL MIGRATORY BIRDS**

2           15. The grand jury realleges and incorporates herein Paragraphs 1-8.

3           16. On or about June 16, 2006, in the District of Guam and elsewhere, the defendant  
4 herein, LESLEE HOUSTON, did unlawfully and knowingly offer for sale migratory birds, to-  
5 wit: a red-tailed hawk (*Buteo jamaicensis*), a great-horned owl (*Bubo virginianus*), and a black  
6 vulture (*Coragyps atratus*),

7           All in violation of Title 16 United States Code, Section 703; 50 C.F.R. § 10.13; 50  
8 C.F.R. § 21.11, and 50 C.F.R. § 21.12.

9                   **COUNT V - LACEY ACT**

10          17. The grand jury realleges and incorporates herein Paragraphs 1-8.

11          18. On or about August 16, 2006, in the District of Guam and elsewhere, the defendant  
12 herein, LESLEE HOUSTON, did unlawfully and knowingly sell wildlife, to-wit: a male spotted  
13 leopard (*Panthera pardus*), which defendant knew had been transported in interstate commerce  
14 and received on Guam in violation of law, to-wit: the Endangered Species Act, Title 16, United  
15 States Code, §§ 1538 & 1540(b)(1), and 50 CFR §§ 17.11 & 17.21(e), and which wildlife had a  
16 market value in excess of \$350,

17          All in violation of Title 16, United States Code, Sections 3372(a)(1) and 3373(d)(B), and  
18 50 CFR § 13.1.

19                  **COUNT VI - LACEY ACT**

20          19. The grand jury realleges and incorporates herein Paragraphs 1-8.

21          20. On or about August 16, 2006, in the District of Guam and elsewhere, the defendant  
22 herein, LESLEE HOUSTON, did unlawfully and knowingly attempt to sell wildlife, to-wit: a  
23 black female leopard (*Panthera pardus*), which defendant knew had been transported in interstate  
24 commerce and received on Guam in violation of law, to-wit: the Endangered Species Act, Title  
25 16, United States Code, §§ 1538 & 1540(b)(1), and 50 CFR §§ 17.11 & 17.21(e), and which  
26 wildlife had a market value in excess of \$350,

27          All in violation of Title 16, United States Code, Sections 3372(a)(1), and (a)(4) and  
28 3373(d)(B), and 50 CFR § 13.1.

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21. The grand jury realleges and incorporates herein Paragraphs 1-8.

### The Purpose of the Scheme to Defraud

22. Beginning on or about June, 2006, the defendant herein, LESLEE HOUSTON, intended to devise and did devise a scheme and artifice to defraud Francisco LG Aldan of approximately \$25,000 in U.S. Currency, by means of false and fraudulent representations and promises, with the purpose of inducing Aldan to purchase wildlife held at the Southern Comfort Ranch without first securing the necessary permits and licenses required by the U.S. Department of Agriculture and the U.S. Fish & Wildlife Service.

## The Scheme

23. It was part of the scheme and artifice to defraud Francisco LG Aldan that the defendant herein, LESLEE HOUSTON, falsely and fraudulently represented that she would apply for and obtain a “secondary site” permit under her APHIS exhibitor’s license, which would enable Aldan to legally obtain said wildlife immediately. The defendant herein, LESLEE HOUSTON, did further falsely represent that Aldan would qualify under USDA regulations to operate the Saipan Zoo legally under the Southern Comfort Ranch, Guam, exhibitor’s license as a secondary site, and that she had just licensed her New York facility in the same manner.

24. On or about June 16, 2006, in the District of Guam and elsewhere, the defendant herein, LESLEE HOUSTON, for the purpose of attempting to execute and executing the scheme and artifice to defraud, as described above, did transmit and cause to be transmitted in interstate and foreign commerce, by means of a wire communication, certain signs and signals, to-wit: an electronic mail (e-mail) communication from her computer in New York to Aldan's computer in Saipan, offering the sale of certain animals in her zoo at Southern Comfort Ranch.

All in violation of Title 18, United States Code, Section 1343.

### COUNT VIII - WIRE FRAUD

25. The grand jury realleges and incorporates herein Paragraphs 1-8 and Paragraphs 22-23.

26. On or about August 6, 2006, in the District of Guam and elsewhere, the defendant herein, LESLEE HOUSTON, for the purpose of attempting to execute and executing the scheme

1 and artifice to defraud, as described above in Count VII, did cause to be transmitted in interstate  
2 and foreign commerce, by means of a wire communication, certain signs and signals, to-wit: a  
3 wire transfer of funds in the amount of \$3,000 via Western Union, from the CNMI to Mackenzie  
4 Huntsman,

5 All in violation of Title 18, United States Code, Sections 2 and 1343.

6 **COUNT XI - WIRE FRAUD**

7 27. The grand jury realleges and incorporates herein Paragraphs 1-8 and Paragraphs 22-23.

8 28. On or about August 23, 2006, in the District of Guam and elsewhere, the defendant  
9 herein, LESLEE HOUSTON, for the purpose of attempting to execute and executing the scheme  
10 and artifice to defraud, as described above in Count VII, did cause to be transmitted in interstate  
11 and foreign commerce, by means of a wire communication, certain signs and signals, to-wit: a  
12 wire transfer of funds in the amount of \$2,000 from the Bank of Hawaii account # 0032-0222286  
13 in the name of Tropical Gardens, Inc., to Cattaraugus County Bank account # 022307820 in the  
14 name Mackenzie L. Huntsman,

15 All in violation of Title 18, United States Code, Sections 2 and 1343.

16 DATED this 12<sup>th</sup> day of December, 2007.

17 A TRUE BILL.

18  
19   
20 Foreperson

21 LEONARDO M. RAPADAS  
22 United States Attorney  
23 Districts of Guam and NMI

24 By: 

KARON V. JOHNSON  
Assistant U.S. Attorney

25 Reviewed:

26 By: 

JEFFREY J. STRAND  
First Assistant U.S. Attorney

## Place of Offense:

City Hagåtña

## Related Case Information:

Country/Parish \_\_\_\_\_

Superseding Indictment \_\_\_\_\_ Docket Number **07-00108**  
Same Defendant \_\_\_\_\_ New Defendant x  
Search Warrant Case Number \_\_\_\_\_  
R 20/ R 40 from District of \_\_\_\_\_

## Defendant Information:

Juvenile: Yes \_\_\_\_\_ No X Matter to be sealed: \_\_\_\_\_ Yes x NoDefendant Name LESLEE HOUSTON

Alias Name \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_Birthdate Xx/xx/19 SS# xxx-xx- Sex F Race C Nationality None

## U.S. Attorney Information:

AUSA KARON V. JOHNSONInterpreter: X No \_\_\_\_\_ Yes \_\_\_\_\_ List language and/or dialect: \_\_\_\_\_

## Location Status:

Arrest Date \_\_\_\_\_

☐ Already in Federal Custody as of \_\_\_\_\_ in \_\_\_\_\_☐ Already in State Custody☐ On Pretrial Release

## U.S.C. Citations

Total # of Counts: 9 \_\_\_\_\_ Petty \_\_\_\_\_ Misdemeanor x Felony

	<u>Index Key/Code</u>	<u>Description of Offense Charged</u>	<u>Count(s)</u>
Set	<u>1 16 USC 1538</u>	<u>Receipt of An Endangered Species</u>	<u>1 &amp; 2</u>
Set	<u>2 16 USC 703</u>	<u>Offer to Sell Migratory Birds</u>	<u>4</u>
Set	<u>3 16 USC 3372</u>	<u>Illegal Sale of Wildlife (Lacey Act)</u>	<u>3, 5 &amp; 6</u>
Set	<u>4 18 USC 1343</u>	<u>Wire Fraud</u>	<u>7, 8 &amp; 9</u>

(May be continued on reverse)

Date: 12/11/07 Signature of AUSA: Karon V. Johnson